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REMARKS

Status of the Claims

After entry of the instant Amendment, claims 1, 2, 4-7, 9-24, 27, 34-37 and 39-48 are pending in the present application. Claims 5, 7, 9-24, 27, 35 and 37 are withdrawn from consideration as being drawn to non-elected inventions. Claims 8 and 38 have been cancelled and claims 1, 2, 4, 6, 34 and 36 have been amended without prejudice or disclaimer of the

subject matter contained therein. New claims 39-48 have been added.

Independent claims 1 and 2 have been amended as suggested by the Examiner to recite a

NOD/SCID/IL2rg-null mouse, and dependent claims 4, 6, 34 and 36 have been amended to be

consistent with the amendments to claims 1 and 2. Support for new claims 39-44 can be found at

least at page 31, line 8 to page 32, line 12; Table 3 at page 28; and Figure 11 of the present

Specification. Support for new claim 45 can at least be found at page 9, ll. 18-22 and page 24, ll. 4-7 of the present Specification. Support for new claims 46-48 can at least be found at page 11,

11. 7-10, and Table 2 at page 26 of the present Specification.

No new matter has been added by way of amendments to the claims. Reconsideration of

this application, as amended, is respectfully requested.

Priority Under 35 U.S.C. § 119

Applicants are filing a certified copy of an English translation of Japanese Patent

Application JP-2003-171240 herewith. Applicants respectfully request that the Examiner

acknowledge Applicants' claim for foreign priority to JP-2003-171240 under 35 U.S.C. § 119

and receipt of the certified priority document in the next Office Action.

Claim Objections

Claims 1, 2, 4-8, 34, 36 and 38 have been objected to for the recitation of a "SCID/IL2rg-

null mammal (excluding human)." Claims 8 and 38 have been cancelled and their rejections are

therefore moot. Claim 7 is withdrawn. Applicants have amended independent claims 1 and 2 to

recite a NOD/SCID/IL2rg-null mouse, as suggested by the Examiner, to overcome the objection.

Claims 4, 6, 34 and 36 depend (directly or indirectly) from amended claims 1 and 2, and have

been amended to be consistent with the amendments made to claims 1 and 2. In view of the

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discussion above, Applicants respectfully request that the objections to claims 1, 2, 4, 6, 34 and 36 be withdrawn.

Rejections Under 35 U.S.C. § 112, New Matter/Written Description

Claims 1, 2, 4, 6, 8, 34, 36 and 38 are rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the written description requirement and for introducing new matter. Claims 8 and 38 have been cancelled and there rejection is now moot. Rejection of claims 1, 2, 4, 6, 34 and 36 is respectfully traversed.

In the Office Action it is alleged that the claims contain subject matter (e.g., SCID/IL2rgnull non-human mammals) that was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art, that the Inventors, at the time the application was filed, had possession of the claimed invention, and that the recitation in the claims of a "SCID/IL2rg-null mammal (excluding human)" introduces new matter.

It is conceded in the Office Action that a NOD/SCID/IL2rg-null mouse is disclosed in the Specification and that the Applicants were in possession of the same at the time the application was filed. As discussed above, claims 1, 2, 4, 6, 34 and 36 now recite a NOD/SCID/IL2rg-null mouse, as suggested by the Examiner. Thus, Applicants respectfully submit that the claims, as amended, comply with the enablement and written description requirements of 35 U.S.C. § 112, first paragraph. Accordingly, reconsideration and withdrawal of this rejection are respectfully requested.

Rejections under 35 U.S.C. §103(a)

Claims 1, 2, 4, 5, 8, 34 and 38 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Ishikawa et al., Exp. Hematol. 30(5):488-494; May 2002 (hereinafter "Ishikawa"), in view of mouse strain NOD.Cg-Prkdc^{scid}IL2rg^{tm1Wjl}/Sz (Stock No: 005557, Jackson Laboratory) (hereinafter "mouse strain 005557").

Claims 1, 2, 6 and 36 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Ishikawa, in view of mouse strain 005557, as applied to claims 1, 2, 4, 5, 8, 34, 35 and 38 above, and further in view of Olive et al., Immunol., Cell Biology, Vol. 76, pp. 520-525, 1998.

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Reply to Office Action of August 25, 2009

Applicants assume that the citation of the Ishikawa reference in the Office Action was

incorrect, and this response is being made based on the assumption that the Examiner meant to

cite Ishikawa et al., Exp. Hematol. 30(5):488-494; May 2002. Ishikawa is not an author of the

article in Am. J. Transpl. vol. 2, pp. 520-525, 2002, as cited in the Office Action. Also, the

mouse strain is cited in the Office Action as having a Jackson Lab stock number of 00557 (which

is not a SCID mouse strain), therefore Applicants assume the Examiner meant to refer to stock

number 005557 (which is a SCID mouse strain).

The mouse strain 005557 cited in the Office Action was not developed until 2005, after

the priority date of the claimed invention. (See article and catalog information attached.) Thus,

mouse strain 005557 is not prior art. It is not clear from the product description for mouse strain

005557 that it had been published at the time the claimed invention was made.

Ishikawa and Olive taken alone or together do not teach NOD/SCID/IL2rg-null mice, as

in the claimed invention, and mouse strain 005557 is not prior art. Therefore, in view of the

discussion above and the documents attached, Applicants respectfully request that the rejection

of claims 1, 2, 4, 6, 34 and 36 as being unpatentable over Ishikawa in view of mouse strain

005557 (and further in view of Olive for claims 1, 2, 4, 5, 34 and 35) be withdrawn.

New Claims

Applicants would like to point out that claims 39-44 recite "antigen-specific human IgG,

IgM and IgA" or "the amount of antigen-specific human IgG in the serum of the mouse." None

of the cited art references (Ishikawa, Olive, or mouse strain 005557) teach the generation of

antigen-specific immunoglobulins, as recited in claims 39-44.

Claim 45 is drawn to a method of producing a NOD/SCID/IL2rg-null mouse transplanted

with human-derived hematopoietic stem or precursor cells, wherein the method comprises

irradiating an immature NOD/SCID/IL2rg-null mouse, and transplanting human-derived

hematopoietic precursor cells or mature hematopoietic cells into the irradiated mouse. Ishikawa

teaches away from engrafting human cells into NOD/SCID mice, where the mice are conditioned

using irradiation prior to introduction of human-derived hematopoietic stem or precursor cells.

Claims 46-48 recite ratios of human-derived antibody- generating cells to recipient-

derived antibody-generating cells in various tissues of the claimed mice. Ishikawa teaches that

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NOD/SCID/β2-microglobulin^{null} mice show better engraftment than NOD/SCID mice.

Therefore, based on the teachings of Ishikawa, it is unexpected that Examples in the present Specification comparing the engraftment levels of NOD/SCID/β2-microglobulin^{null} mice with

the inventive NOD/SCID/IL2rg-null mouse strain, the engraftment levels for the inventive strain

appear to be much higher. (See Table 2 at page 26.)

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Conclusion

All of the stated grounds of rejection have been properly traversed, accommodated, or rendered moot. Applicants therefore respectfully request that the Examiner reconsider all presently outstanding rejections and that they be withdrawn. It is believed that a full and complete response has been made to the outstanding Office Action, and as such, the present application is in condition for allowance.

Should there be any outstanding matters that need to be resolved in the present application, the Examiner is respectfully requested to contact Stephanie A. Wardwell, Ph.D., Registration No. 48,025, at the telephone number of the undersigned below to conduct an interview in an effort to expedite prosecution in connection with the present application.

If necessary, the Director is hereby authorized in this, concurrent, and future replies to charge any fees required during the pendency of the above-identified application or credit any overpayment to Deposit Account No. 02-2448.

Dated: February 24, 2010

Respectfully submitted,

Gerald M. Murphy, Jr. Registration No. 28977

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Attachments: Jackson Laboratory catalog description of stock number 005557

"A New Model for Engraftment with Human Hematopoietic Stem Cells," JAX

Notes, Issue 498, Summer 2005 (5 pages)

English translation of Japanese Patent Application JP-2003-171240 (23 pages)



A New Model for Engraftment with Human Hematopoietic Stem Cells

JAX[®] NOTES Issue 498, Summer 2005

A new mouse model that can support a human immune system was recently developed by The Jackson Laboratory's Dr. Leonard Shultz and collaborators at St. Jude Children's Research Hospital and the University of Tennessee, both in Memphis, the EMD Lexigen Research Center, Billerica, Massachusetts, and the University of Massachusetts, Worcester. The mouse will allow scientists to 1) perform the critical studies necessary to improve hematopoietic stem cell (HSC) transplants for treating leukemia, sickle cell disease, and other blood disorders (all without putting patients at risk), and 2) study the HIV(AIDS) virus in a model that mimics the human immune system better than any previously constructed.

The model, NOD.Cg-Prkdc^{scid} Il2rg^{tm1Wjl}/Sz, combines the features of the NOD/LtJ (Stock Number 001976) background, the severe combined immune deficiency mutation (scid, which is caused by a spontaneous mutation of the Prkdc gene), and Il2rg-deficiency. It is deficient in mature lymphocytes and natural killer (NK) cells. Il2rg is indispensable for IL2, IL4, IL7, IL9, IL15, and IL21 high affinity binding and signaling. In mice, it is also thought to play a key role in mediating susceptibility to thymic lymphomas. In humans, IL2RG-chain deficiency causes X-linked SCID, blocks Nk cell development, and results in additional defects in innate immunity.¹

NOD.Cg- $Prkdc^{scid}$ $Il2rg^{tm1Wjl}$ /Sz has two major advantages over previous immunodeficient mouse models. First, because it is resistant to lymphomas (even after sublethal irradiation), it lives for over 16 months. Its longevity is particularly important because it will enable researchers to conduct long-term experiments not possible with previous immunodeficient mice. Second, although the scid, B2m-deficient, Rag1-deficient, and Rag1-Pfr-deficient mice on the NOD/LtJ background we compare in a previous issue of JAX Notes have been successively better in their ability to engraft human HSCs, they fail to differentiate those HSCs into mature human lymphoid and myeloid cells. In contrast, the bone marrow of HSC-engrafted Il2rg-deficient mice generates six times more human CD45+ cells (B cells, NK cells, myeloid cells, plasmacytoid dendritic cells, and HSC) than does the bone marrow of similarly treated NOD.CB17- $Prkdc^{scid}$ /J mice. Their spleens contain functioning human Ig^+ B and T cells (CD3+). Co-administering human Fc-IL7 fusion protein along with HSCs results in high percentages of human CD4+CD8+ thymocytes and human CD4+CD8- and CD4-CD8+ peripheral blood and splenic T cells.

Because the *Prkdc*^{scid} *Il2rg*^{tm1Wjl} model has such a superior ability to engraft human hematopoietic stem cells (HSCs) and differentiate them into the various cell subsets of the human immune system, it will be capable of acting as a surrogate for the human immune system and thus enable researchers to avoid the complex ethical issues of conducting research directly in humans. Essentially, researchers will be able to produce a human immune system in a mouse. As Dr. Rupert Handgretinger, director of stem cell transplantation at St. Jude and co-leader of the Transplantation and Gene Therapy Program says: "Hematopoietic stem cell transplantation to replace a patient's own blood system could cure many more people who have blood cancers and certain genetic and immune disorders. Unfortunately, this treatment has not reached its full potential, in part because of ethical limitations on studying stem cell transplantations in humans. Our new laboratory model will now let researchers around the world do many important experiments that will provide valuable insights into how the immune system works and how to increase the success rate of HSC transplantation." The model will be a valuable tool for studying how stem cells give rise to the various cells of the immune system, how immune cells kill cancer cells and fight infections, and how immune cells respond to radiation and chemotherapy, two major treatments for many cancers. Dr. Shultz of The Jackson Laboratory is very enthusiastic about the model's potential: "Because this new humanized mouse model will permit studies of normal stem cell function, it will be a very important tool in research on regenerative medicine. The ability of these mice to support development of a functional human immune system should also facilitate the testing of experimental human vaccines and help us understand the mechanisms underlying human autoimmune diseases."

The new model should also firmly establish the inbred mouse's niche in HIV(AIDS) research. Although mice are biologically similar to humans, the native mouse immune system is not susceptible to HIV. The ability of this mouse model to support a fully functional human immune system will solve that problem.

References:

(Authors in **bold** are Jackson Laboratory scientists)

¹Shultz LD, Lyons BL, Burzenski LM, Gott B, Chen X, Chaleff S, Kotb M, Gillies SD, King M, Mangada J, Greiner DL, Handgretinger R. 2005. Human lymphoid and myeloid cell development in NOD/LtSz-scid IL2Rgnull mice engrafted with mobilized human hemopoietic stem cells. *J Immunol* 15:6477-89.

 2 JAX Notes $^{\tau M}$. 2005. NOD.Cg- $Rag_I^{tm_IMom}$ $Prf_I^{tm_ISdz}$ /SzJ, a new immunodeficient mouse strain supporting enhanced human hematolymphoid cell engraftment. JAX Notes $^{\tau M}$ 496:10.

3St. Jude Children's Research Hospital. 2005. Laboratory model of immune system overcomes ethical constraints on studies of

hematopoietic stem cells in humans. St. Jude Children's Research Hospital news release May 9, 2005 (www.stjude.org/news).



 $\underline{Home} > \underline{JAX^{\textcircled{R}}}\underline{Mice \& Services} > \underline{Find JAX^{\textcircled{R}}}\underline{Mice} > \underline{JAX^{\textcircled{R}}}\underline{Mice database}$

Strain Name: $NOD.Cg-Prkdc^{scid}$ $Il2rg^{tm_1Wjl}/SzJ$

Stock Number: 005557

Availability: Level 2

Use Restrictions Apply, see Terms of Use

Common Names: NOD scid gamma; NSG; NOD-scid IL2Rgamma $^{\mathrm{null}}$; NOD-scid IL2Rg $^{\mathrm{null}}$;

These mutant mice combine the features of the NOD/ShiLtJ background, the severe combined immune deficiency mutation (scid) and IL2 receptor gamma chain deficiency. As a result, the NOD.Cg-Prkdc^{scid} Il2rg^{tm1Wjl}/SzJ mice lack mature T cells, B cells, or functional NK cells, and are deficient in cytokine signaling, leading to better engraftment of human hematopoietic stem cells and peripheral-blood mononuclear cells than any other published mouse strain. Recent publications have demonstrated this strain's outstanding utility in the studies of islet transplantation, hematopoietic stem cells and cancer stem cells.

(Female x



Description

Strain Information

Type Congenic; Mutant Strain; Spontaneous

Mutation; Targeted Mutation;

Additional information on Genetically

Engineered and Mutant Mice.

Visit our online Nomenclature tutorial.

Additional information on *Congenic*

nomenclature.

Mating System Homozygote x Homozygote

Male) 01-MAR-06 laboratory mouse

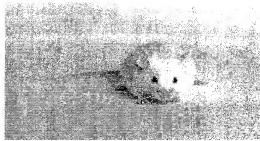
H2 Haplotype

Species

Generation N8F?+4pF2 (03-JAN-08)

Donating Investigator

Leonard Shultz, The Jackson Laboratory



View larger image

Appearance albino

Related Genotype: A/A Tyr^c/Tyr^c

Description

The NOD.Cg-Prkdc^{scid} Il2rg^{tm1Wjl}/SzJ mice, commonly known as NOD scid gamma (NSG), do not express the Prkdc gene nor the X-linked Il2rg gene. NSG mice are viable, fertile, normal in size and do not display any gross physical or behavioral abnormalities. Histological examination of lymphoid tissues reveals absence of lymphoid cells and some cystic structures in the thymus, an absence of follicles in the spleen and markedly diminished celluarity of lymph nodes. NSG mice are deficient in mature lymphocytes, serum Ig is not detectable and natural killer (NK) cell cytotoxic activity is extremely low. These mice are resistant to lymphoma development even after sublethal irradiation treatment. These mutant mice have been shown to readily support engraftment of human CD34⁺ hematopoietic stem cells and represent a superior, long-lived model suitable for studies employing xenotransplantation strategies. Please note that the NSG carries the true null

interleukin-2 receptor gamma chain mutation and should not be confused with other strains that express a truncated interleukin-2 receptor gamma chain as described in: "Modulation of hematopoiesis in mice with a truncated mutant of the interleukin-2 receptor gamma chain" Ohbo K *et al. Blood* 1996. 87:956-67.

Development

These double mutant mice were produced by breeding female NOD.CB17-Prkdc^{scid}/J (Stock No. <u>001303</u>) mice with male mice bearing the X-linked B6.129S4-Il2rg^{tm1WJl}/J allele (Stock No. <u>003174</u>). The resulting male mice heterozygous for the Prkdc^{scid} allele and hemizygous for the Il2rg^{tm1WJl} allele were crossed to female NOD.CB17-Prkdc^{scid}/J (Stock No. <u>001303</u>) mice for eight generations. Heterozygotes were interbred to produce mice homozygous for the Prkdc^{scid} allele and homozygous (females) or hemizygous (males) for the Il2rg^{tm1WJl} allele.

Control Information

Control

001303 NOD.CB17-Prkdc^{scid}/J 001976 NOD/ShiLtJ

Considerations for Choosing Controls

Strains carrying Il2rg^{tm1Wjl} allele

Related Strains

```
003174 <u>B6.129S4-Il2rg<sup>tm1Wjl</sup>/J</u>
        003169 C.129S4-Ilerg^{tm_1W_jl}/J
        010636 NOD.Cg-Prkdcscid B2mtm1Unc Il2rgtm1Wjl/SzJ
        009617 NOD.Cg-Prkdcscid Il2rgtm1Wil Tg(HLA-A2.1)1Enge/SzJ
        007799 NOD.Cg-Rag1tm1Mom Il2rgtm1Wjl/SzJ
+ View Strains carrying IlergtmiWjl (5 strains)
Strains carrying Prkdc<sup>scid</sup> allele
        001913 B6.CB17-Prkdcscid/SzJ
        001131 <u>C3SnSmn.CB17-Prkdc<sup>scid</sup>/J</u>
        002038 CB17;HPG-Prkdcscid Gnrh1hpg/Bm
        001803 CBySmn.CB17-Prkdcscid/J
        004083 NOD.129(B6)-Prkdc^{scid} Idua^{tm_1Clk}/J
        001303 NOD.CB17-Prkdcscid/J
        002571 NOD.Cg Prkdc Scid_B2mb/Dvs
        004644 NOD.Cg Prkdc<sup>scid</sup>_Tg(CSF2)2Ygy Tg(IL3)1Ygy Tg(KITLG)3Ygy/YgyJ
        010636 NOD.Cg-Prkdcscid B2mtm1Unc Il2rqtm1Wil/SzJ
        005345 NOD.Cg-Cd38tm1Lnd Prkdcscid/LtJ
        006609 NOD.Cg-Prkdcscid Tg(HLA-A2.1)1Enge/DvsJ
        007840 NOD.Cg-Prkdcscid Tg(Ins2-CD86)12B70Flv/FswJ
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 004262
 NOD.Cg-Prkdc*scid
 Tg(HLA-A2.1)1Enge/Dvs

 004346
 NOD.Cg-Prkdc*scid
 Tg(Ins2-CD80)3B7Flv/DvsJ

 004230
 NOD.Cg-Prkdc*scid
 Tg(Ins2-E3)1Dvs/DvsJ

 003843
 NOD.Cg-Prkdc*scid
 Tg(Ins2-GAD2)1Lt/LtJ

 003844
 NOD.Cg-Prkdc*scid
 Tg(Ins2-GAD2)2Lt/LtJ

 004257
 NOD.Cg-Prkdc*scid
 Tg(TcrLCMV)327Sdz/Dvs

006605 NOD.Cg-Prkdcscid Emv30b Tg(HLA-A/H2-D/B2M)1Dvs/DvsJ

002570 NOD.Cg-Prkdcscid B2mtm1Unc/J

002313

NOD.Cg-Prkdcscid Emv30b/Dvs 005053 NOD.Cg-Prkdcscid Gusbmps/SndsJ 004606 NOD.Cg-Prkdcscid H2-Ab1tm1Doi Tg(HLA-DQA1,HLA-DQB1)1Dv/SzJ 005589 NOD.Cg-Prkdc^{scid} H2-Ab1^{tm1Doi}/SzJ 009617 NOD.Cg-Prkdcscid Il2rqtm1Wjl Tg(HLA-A2.1)1Enge/SzJ 002380 NOD.Cg-Tg(Ins2-TAg)1Lt Prkdcscid/DvsJ + View Strains carrying Prkdcscid (26 strains) Strains carrying other alleles of *Il2rg* 002479 STOCK Ilarq tmiCgn/J + View Strains carrying other alleles of Ilerg (1 strain)

Additional Web Information

Genetic Quality Control Annual Report

JAX® NOTES, Spring 2006; 501. Choosing an Immunodeficient Mouse Model.

JAX® NOTES, Spring 2008; 509. Jackson Laboratory's Leonard Shultz PhD Helps Develop a Better Leukemia Mouse Model.

JAX® NOTES, Spring 2009; 513. JAX-engineered NSG mouse, an innovative cancer research tool.

AAN NOIES, Spring 2009; 513. JAX-engineered NSG mouse, an innovative cancer research tool.

JAX® NOTES, Summer 2005; 498. NOD.Cg-Prkdc scid Il2rg tm1Wil/Sz, a New Model for Engraftment with Human Hematopoietic Stem Cells.

Strain-at-a-glance.

enotype

enotype

Phenotype

Phenotype Information

1 View Mammalian Phenotype Terms

Mammalian Phenotype Terms

assigned by genotype

$Il2rg^{tm_1Wjl}/Il2rg^{tm_1Wjl}$ $Prkdc^{scid}/Prkdc^{scid}$

NOD.Cg-Prkdc^{scid} Il2rg^{tm1Wjl}/Sz

• immune system phenotype

- abnormal immune system organ morphology (MGI Ref ID <u>J:109833</u>)
 - O lymph tissues are severely depleted of lymphoid cells
 - O abnormal spleen B cell follicle morphology (MGI Ref ID J:109833)
 - spleens do not have detectable follicles abnormal splenic cell ratio (MGI Ref ID J:109833)
 - two fold reduction in nucleated spleen cell numbers in comparison to Prkdc^{scid} controls
 - O abnormal thymus morphology (MGI Ref ID <u>J:109833</u>)
 - thymus consists mostly of stromal cells with sporadic cyst structures
 - O small lymph nodes (MGI Ref ID J:109833)
 - lymph nodes in the double mutant are markedly smaller than those of homozygous Prkdc^{scid} mice
 - lymph node hypoplasia (MGI Ref ID J:109833)
 - lymph nodes are hypocellular
- abnormal response to transplant (MGI Ref ID <u>J:109833</u>)